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## UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

Attorney Docket No. 61282.00007

First Inventor Gwang Hoon KWAG

Title BLUE LIGHT-EMITTING, LADDER-TYPE POLYMER WITH EXCELLENT HEAT STABILITY

Express Mail Label No. EL 701 365 032 US

(10.11)				. ( - //	· .					
APPLICATION ELEMENTS					4000	ESS TO	44-11-04-	oner for Patents Patent Application		
See MPEP chapter 600 concerning utility patent application contents.				ADDR	ADDRESS TO: Mail Stop Patient Application P.O. Box 1450 Alexandria VA 22313-1450					
2. 🛛	Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original and a duplicate for fee processing) Applicant claims small entity status. See 37 CFR 1.27. Specification [Total Pages 18] [preferred arrangement set forth below) - Descriptive title of the Invention - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table.				<ul> <li>7.  CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)</li> <li>8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)</li> <li>a.  Computer Readable Form (CRF)</li> <li>b. Specification Sequence Listing on: <ul> <li>CD-ROM or CD-R (2 copies); or</li> <li>paper</li> </ul> </li> <li>c.  Statements verifying identity of above copies</li> </ul>					
	or a computer program listing appendix				ACCOMPANYING APPLICATIONS PARTS					
- - -	- Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings ( if filed) - Detailed Description - Claim(s)				9. 🛭 10. 🗌					
-	Abstract of the Di	isclosure			11. 🗆	11. English Translation Document (if applicable)				
	Drawing(s) (35 L Declaration	· · · · · ·	-	3 ]	12. 🗌	Information Disclosure Copies of IDS Statement (IDS)/PTO-1449 Citations				
		•		<u>,</u> ,	13. 🛛	Preliminary Amendment				
<ul> <li>a. Mewly executed (original or copy)</li> <li>b. Copy from a prior application (37 CFR 1.63 (d))</li> </ul>				14. 🛚	Return Receipt Postcard (MPEP 503)					
(for a continuation/divisional with Box 18 completed)				15. 🖂	(Should be specifically itemized) 15. ☑ Certified Copy of Priority Document(s)					
i. 🗆		OF INVENTOR(S			(if foreign priority is claimed)					
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).				16.	16. Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.					
6. Application Data Sheet. See 37 CFR 1.76				17. 🔯 <u>of Time</u>	17. Other: General Authorization to Petition for Extensions					
18. If a CON	18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment,									
• •		eet under 37 CFR 1.			(815)					
☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: /  Prior application information: Examiner Art Unit:										
For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference.  The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.										
	19. CORRESPONDENCE ADDRESS									
☑ Customer Number or Bar Code Label (Insert Custome No. or Attach bar code label here)  Or ☐ Correspondence address below										
30256										
Name	PATENT TRADEMARK OFFICE									
Address										
City				tate			Zip Code			
Country			Telephon				Fax			
Country			relephon	ie .			rax			
Name (Pri	int/Type)	Aaron Wininger			Registration No. (Attorney/Agent) 45,229					
Signature					~ <u> </u>		Date	August 18, 2003		

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

10/643144

Express Mail Label No.: EL 701 365 032 US

PTO/SB/17 (05-03)
Approved for use through 04/30/2003. OMB 0651-0032
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
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CEE TO A NOMITTAL	Complete if Known				
FEE TRANSMITTAL	Application Number	Unknown			
for FY 2003	Filing Date	August 18, 2003			
Fig. 18. 04. Mar Mood. Delevation and include a second and included	First Named Inventor	Gwang Hoon KWAG			
Effective 01/01/2003. Patent fees are subject to annual revision.	Examiner Name	Unknown			
Applicant claims small entity status. See 37 CFR 1.27	Art Unit	Unknown			
TOTAL AMOUNT OF PAYMENT (\$) 555	Attorney Docket No.	61282.00007			

ME	FEE CALCULATION (continued)							
	3. ADDITIONAL FEES							
☐ Check ☐ (	Large Entity Small Entity							
Deposit Acco	Fee Code	Fee	Fee	Fee	Fee Description	Fee Paid		
Deposit				(\$) 130	2051	(\$) 65	Surcharge - late filing fee or oath	
Account Number	05-0150	1051 1052	50	2051	25	•		
Number	1032	30	2002	23	Surcharge - late provisional filing fee or cover sheet.			
Deposit	1053	130	1053	130	Non-English specification			
Account	Squire, Sanders & Dempsey L.L.P.	1812	2,520	1812	2,520	For filing a request for reexamination		
Name The Director is a	1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action			
☐ Charge fee(s) ☐ Charge any ac	1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action			
☐ Charge fee(s)	1251	110	2251	55	Extension for reply within first month			
to the above-iden	tified deposit account.		1252	410	2252	205	Extension for reply within second	
	FEE CALCULATION						month	
1. BASIC FIL	ING FEE		1253 1254	930	2253	465	Extension for reply within third month	
Large Entity Small Entity Fee Fee Fee Fee Fee Description				1,450	2254	725	Extension for reply within fourth month	
l i	Code (\$)	Fee Paid	1255	1,970	2255	985	Extension for reply within fifth month	
	2001 375 Utility filing fee	375	1401	320	2401	160	Notice of Appeal	
1002 330 3	2002 165 Design filing fee		1402	320	2402	160	Filing a brief in support of an appeal	
1003 520	2003 260 Plant filing fee		1403	280	2403	140	Request for oral hearing	
	2004 375 Reissue filing fee		1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1005 160 2005 80 Provisional filling fee		1452	110	2452	55	Petition to revive – unavoidable		
	SUBTOTAL (1)	(\$) 375	1453	1,300	2453	650	Petition to revive - unintentional	
		(7/ 5/5	1501	1,300	2501	650	Utility issue fee (or reissue)	
2. EXTRA CLAIN			1502	470	2502	235	Design issue fee	
	Extra Fee Claims bel	e from Fee low Paid	1503	630	2503	315	Plant issue fee	
Total Claims 4	-20 ** = 0 X	= 0	1460	130	1460	130	Petitions to the Commissioner	
Independent			1807	50	1807	50	Processing fee under 37 CFR 1.17 (q)	
Claims 1	-3 ** = 0 X	= 0.	1806	180	1806	180	Submission of Information Disclosure Stmt	
Multiple Dependent 1 X 140 = 140				40	8021	40	Recording each patent assignment per property (times number of	40
Large Entity Small Entity							properties)	
Fee Fee Code (\$)	Fee Fee Code (\$)	<u>lon</u>	1809	750	2809	375	Filing a submission after final rejection (37 CFR § 1.129(a))	
1202 18	2202 9 Claims in exce	ess of 20	1810	750	2810	375	For each additional invention to be	
1201 84	· ·	claims in excess of 3					examined (37 CFR § 1.129(b))	$\square$
1203 280	1	ndent claim, if not paid	1801	750	2801	375 I	Request for Continued Examination (RCE)	! I
1204 84 2204 42 ** Reissue independent claims or original patent			1802	900	1802	900	Request for expedited examination	
4005 40	** Paissua cla	of a design application						
1205 18 2205 9 Reissue claims in excess of 20 and over original patent				Other fee (specify)				
SUBTOTAL (2) (\$) 140								
				ed by Ba	isic Filing	Fee Pa	id SUBTOTAL (3) (\$) 40	
**or number previously paid, if greater; For Reissues, see above								

SUBMITTED BY Complete (if applicable)							
Name (Print/Type)	Aaron Wininger	Registration No. Attorney/Agent)	45,229	Telephone	650.856.6500		
Signature		6/1		Date	August 18, 2003		

**PATENT** 

Attorney Docket No.: 61282.00007

Examiner:

Art Unit:

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Gwang Hoon KWAG, Eun Joo PARK,

Eun Il KIM and Jae Young KOH

Serial No:

Unknown

Filed:

August 18, 2003

For:

BLUE LIGHT-EMITTING, LADDER-TYPE

POLYMER WITH EXCELLENT HEAT STABILITY

Unknown

Unknown

Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## **GENERAL AUTHORIZATION TO PETITION FOR EXTENSIONS OF TIME**

Dear Sir:

With reference to the subject application, and pursuant to 37 C.F.R. § 1.136, Applicants hereby authorize and request the Commissioner to treat any correspondence requiring a petition for extension of time as containing such a request therefore for the appropriate length of time. This general authorization is effective during the pendency of this application, including any division or continuing application therefrom.

Where no check is received by the Commissioner, you are hereby authorized to charge payment of the requisite petition fees, or charge any additional fee required under 37 C.F.R. § 1.17, or credit any overpayment of same, to Deposit Account No. <u>05-0150</u>.

Date: 8/18/03

Respectfully submitted,

Squire, Sanders & Dempsey L.L.P. 600 Hansen Way

Palo Alto, CA 94304-1043 Telephone (650) 856-6500

Facsimile (650) 843-8777

Aaron Wininger Attorney for Applicants

Reg. No. 45,229